

**RECEIVED**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CENTRAL FAX CENTER**

**MAY 18 2006**

In re Patent Application of .

J Giles et al

Serial No.: 09/853,164

Filed: May 10, 2001

For: "METHOD AND APPARATUS FOR SERVING CONTENT FROM A SEMI-TRUSTED  
SERVER"

Date: May 18, 2006

Docket No. YOR920010426US1

Group Art Unit: 2134

Confirmation No: 5911

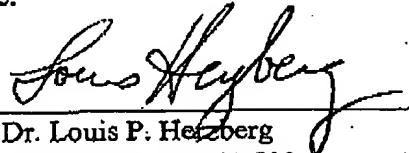
Commissioner for Patents  
Alexandria, VA 22313-1450

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this paper (3 pages),

Information Disclosure Statement (2 Pages)

PTO-1449 Form with 1 reference attached (1 Page)

are being facsimile transmitted under Rule 37 CFR 1.6(d) to the U.S. Patent and Trademark  
Office, to (571) 273-8300, on the date shown above.  
Dr. Louis P. Herzberg  
Registration No. 41,500

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

These documents are being submitted (check only one of the next four boxes):

- [ ] within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);

Serial Number: 09/853,164

1

MAY 18 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- ☐ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☒ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this Box is checked, the fee box and one of the last two boxes also must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

FEE

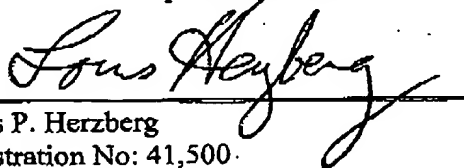
- ☐ Charge our Deposit Account No. 50-0510 the amount of \$180.00, in payment of the fee set forth in 37 CFR § 1.17(p).

CERTIFICATION

- ☒ The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- ☐ The undersigned certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0510. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



Louis P. Herzberg  
Registration No: 41,500

Customer # 54856

Telephone: (845) 352-3194  
Fax: (845) 352-3194

Serial Number: 09/853,164

2

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. YOR92001-0426US1	SERIAL NO.: 09/853,164
	APPLICANT: James Giles	
	FILING DATE: May 10, 2001	GROUP: 2134

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA	US 5875296	2/23/1999	Shi, Shaw-Ben			
	AB						
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							
	AM							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AN	
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.